kotak Securities	Kotak Securities Ltd. Kotak Infinity, 8th Highway, Gen A. K. Vaidya Marg, Malac	or, Building No 21, Infinity Park, Off Western Express), Mumbai - 400097. Note:- • In case of multiple holders, please fill up separate forms	Branch Inward Details Recd. on Emp. Name
KRA KYC COMMON UP	DATION FORM A - INDIVIDUA	•All holder(s) signature proof required for E-mail/Mobile updation	
Trading code A B C I	D 2 Demat Account No. 1	2 3 4 5 6 7 8 DP ID: IN300214	Emp. Sign
Date 1 2 / 1 0 / 2 0		(C) □ NEW CHANGE REQUEST	Branch Name
*FATCA Declaration: I/we c	onfirm that our Country of Birth/Nati answer to your question is 'No', then	the appropriate now where CHANGE/CORRECTION is required ality/ Citizenship/ Tax Residency/ Address/ Telephone No./ Ac ease provide complete FATCA Declaration available on page 5	ddress of POA or Mandate holder
Name*(same ID prof <u>.)</u>	JAY RAMESH PATIL		
Nationality*_INDIAN	Date of Birth* 2 5 / 1	22 / 1 9 8 3 C- PAN Card A B C D	E 5 7 8 9 E
Maiden Name (if any*)			
Father/Spouse Name*RA Gender* ☑ M-M Residential Status* ☑ Resid Occupation Type* ☑ S-Ser □ O-Ot □ B-Bu	ale □ F-Female □ T- Transgender lent Individual □ Foreign Natior vice (☑ Private Sector □ Public S hers (□ Professional □ Self Em	or Government Sector) yed Retired Housewife Student)	PHOTOGRAPH
2. PROOF OF IDENTITY AN	D PERMANENT ADDRESS (Please)		
$KRA \times AADHAR >$			
		Passport Expiry Date D D / M	M/YYYYY
 C-Driving Licence D-NREGA Job Card E-National Population Reg ✓ F-Proof of Possession of A E-KYC Authentication Offline verification of Aadh 	adhaar/ VID (Virtual ID)	Driving Licence Expiry Date D / M	
Line 2 SHARAD NAGAR, D	NG, SHREE RESIDENCY, SATYAM CO ADAR (EAST)	NY	
Line 3		Landmark (If any) NEAR GANESH MANDIR	
City /Town /Village* MUMBAI		District* MUMBAI	Name Name Name
Pin Code* 400028	State/U.T*	MAHARASHTRA Country*_INDIA	S 2
3. CURRENT / CORRESPO	NDENCE ADDRESS (Please refer instr	ion B) I. Certified copy of OVD or equivalent e-document of OVD or OV digital KYC process needs to be submitted (anyone of the follow	D obtained through ing OVDs)
KRA AADHAR> A-Passport No. Image: Constraint of the second se	< NON KRA & NON AADHAI	Same as above mentioned address (In such cases address details as Passport Expiry Date D D / M	
B-Voter ID Card C-Driving Licence D-NREGA Job Card E-National Population Reg F-Proof of Possession of A E-KYC Authentication Offline verification of Aadh Deemed Proof of Address	adhaar/ VID (Virtual ID)	Driving Licence Expiry Date D / N	
City /Town /Village*		Distaist*	
Pin Code*	State/U.T*	Country*	NA S
ACKNOWLEDGEMENT			
Date D D / M M / Y	(Y Y	∽∽∽≁∽∽∽∽≁∽∽∽	kotak
Address	Yes No CRM Query ID 1	From	Securties
Mobile No./Phone No.	☐ Yes ☐ No CRM Query ID 2	having Trading Code	
Email Id	Yes No CRM Query ID 3	Client Id	Kotak Securities Ltd.
Name of the Employee			mpany Seal) Employee Signature

write at service.securities@kotak.com.



Kotak Securities Ltd. Kotak Infinity, 8th floor, Building No 21, Infinity Park, Off Western Express Securities Highway, Gen A. K. Vaidya Marg, Malad (E), Mumbai - 400097.

4. CONTACT DETAILS (Please refer instruction C) (All communications will be sent on provided Mobile no. / Email-ID)

Tel.(Res.) 9 1 5	D 2 2 - 2	5896	589		Mobile 9	1 - 9 9 8 8 7 7 6 6 5 5
Tel.(Off.) 9 1 5	T D 2 2 - 6	6 5 7 9	9 6 5	' F	ax 915TC	
E-mail ID.	AJAY	. P A T I	L @ G M A	AIL.CO	M	SMS Flag 🔽 Yes 🗌 No
The mobile number me	entioned here belongs	5		The E-Mail II	D mentioned here belo	
to Name of relative					relative	
Self Spouse					Spouse 🗌 Dependent	
Dependent children				Depender	nt children PAN of rela	tive
OTHER DETAILS of	Account Holder	Gross Annual In	come Details (plea	ase specify) :		
Income Range	e per annum*	Below ₹1 la	c □ ₹1-5 lac	☐ ₹5-10 lac	√ ₹10-25 lac	More than ₹25 lac
						as on 31/0/3/2024
Net-worth in ₹ not be older			25 LAKH			as on 3 7 / 0 8 / 20 2 2
APPLICANT DECLAR	ATION					
						dertake to inform you of any changes therein, rare that I may be held liable for it.
I hereby consent to	receiving information	from Central KYC	Registry through S	SMS/Email on the ab	ove registered number/	/email address.
• I/We are aware that Date 12/10/2022	t the information on pr				d at Kotak Securities un	ider intimation to me.
1st Holder			nd Holder 4			3rd Holder
NameAJAY R	AMESH PATIL	Ν	lame			Name
		1			Applicable for All Hold	
	ified by acquiring sa				Applicable for All Holde	
Details			its verified with c	original / client inte	erviewed By / In-Perso	on Verification done by
Name of the Employe						
Employee Code / SEB	l Reg. No. / AP Reg. N	lo.				
Name & Address of B Service Center	ranch/Franchisee/					
Designation of the Er	nployee					
Date						
Place of verification						
Signature						
Name of Institution	Co	de of Institutio	า		_	
Kotak Securities Ltd.	NSE Code - 08081	BSE Code - 673	ISE Code - 1024	_	Stamp of	
	MCX - 56285	NCDEX - 1262			Institution	
For Office Use Only	Ver.4 (Sept 2021)					
Address CRM Qu	ery ID 1			🗌 Mobile No./Ph	one No. CRM Quer	y ID 2
Email Id CRM Qu	ery ID 3					
Application Type*	🗌 Update					
KYC Number (To be fi financial institution)	lled by			(Mandatory f	or KYC update reques	t)
Account Type*	🗌 Normal	□ Minor	□ A	Aadhaar OTP Based I	E-KYC (in non-face to	face mode)
Document Received	Certified	Copies	□ E	-KYC data received	from UIDAI	
		eived from Offline		Digital KYC Process		
	🗌 Equivaler	nt e-document	□ \	/ideo Based KYC		

Want to avail RTGS/NETF Facility: Yes No Default mapping Yes No Bank Name KOTAK MARINDRA BANK SHOP NO 12,3, RAMESH COMPLEX, GOREGAON EAST City MUMBAI Pin Code Image: Code Code Code Code Code Code Code Code	Securities Highw		Malad (E), Mumbai - 4000 Note:- In case DUAL forms for FAT	097. e of multiple holders, p CA	olease fill up separate	Branch Inward Details Recd. on Emp. Name Emp. ID Emp. Sign
REQUEST FOR BANK DETAILS UPDATION/RTGS FACILITY	Date $12/10/2022$ Please fill this form in ENGLISH and in *FATCA Declaration: I/we confirm t is of India \checkmark Yes \Box No (if answer	BLOCK LETTERS.Please tid bat our Country of Birth, to your question is 'No', t	ck 🗹 the appropriat /Nationality/ Citizenshi	e now where CHANGE	CORRECTION is required	IDENTITY DETAILS MANDATORY dress of POA or Mandate holder
Want to avail RTGS/NETF Facility: Yes No Default mapping Yes No Bank Name KOTAK MARINDRA BANK SHOP NO 12,3, RAMESH COMPLEX, GOREGAON EAST City MUMBAI Pin Code Image: Code Code Code Code Code Code Code Code	Gender* ☑ M-Male □ F-Female □	T- Transgender Date of	Birth* 25 /1 2	M / Y 1 9 8 Y 3 Y		
IFSC Code of beneficiany bank K K B K 0.0 0 0 6 5 8 MICR Code: 4 0 0 0 0 2 1 3 3 Bank A/c Type: Saving Curre Full name of First Holder in the Bank AJAY RAMESH PATIL Bank A/c Type: Saving Curre Full name of First Holder in the Bank Image: All statement/Bank Passbook copy of the same. Image: All statement of Account If Comparison of the all statement/Bank Passbook copy of the same. Image: All statement of Account If Comparison of the all statement/Bank Passbook copy of the same. Image: All statement of Account If Comparison of the all statement of Account If Comparison of the point #T2 and ensure that email id is provided in KYC application form. 2. Mode of Receiving Statement of Account If Tick any one] Plass refer instruction D CRM Ouery ID Physical Form for Accepting Contract Notes and other Communication through Electronic mode and on Mobile Phone: Only for tousing Email ID AJAY PATIL@@MAIL.COM Mobile 9 1 - 9 8 8 7 7 6 6 5 5 CRM Ouery ID We hereby agree to receive: (Please tick any one) Contract notes and other communication through physical mode? Please send all communication through physical mode? Please send all communication at mylour email Id and mobile number as mentioned in the client registration form. We agree th	I want to avail RTGS/NEFT Facility:	Yes □ No Default m INDRA BANK	napping 🗹 Yes 🗆 N	0		
Full nee of Third Holder in the Bank	IFSC Code of beneficiary bank K Bank A/c No. <u>124563987</u> Full name of First Holder in the Bank	K B K 0 0 0 0 6 4 AJAY RAMESI	5 5 8 MICR Co		0 2 1 3 3	
Physical Form ✓ Electronic Form (Read the point #'D' and ensure that email id is provided in KYC application form) 3.Request Form for Accepting Contract Notes and other Communication through Electronic mode and on Mobile Phone: (Only for trading) Email ID: AJAY_PATIL@GMAL.COM Mobile 9 9 8 7 7 6 5 CRM Query ID We hereby agree to receive: (Please tick any one) ✓ Contract notes and other communication on Email and mobile Contract notes and other communication through physical mode* Please send all communication at my/our email id and mobile number as mentioned in the client registration form. // We agree that all information sent to obsovementioned email distalle be binding upon me/us. Further, 1/We understand that noneight aphysical mode* Please send all communication at my/our email id and mobile number as mentioned in the client registration form. // We aryare may be the the diversites Limited (KSL) amount to delivery of the contact note or such other information at my /our email id. I/We agree that KSL shall not be responsible for the non-receipt of the contin note/confirmation note/other correspondence by me/us due to any change in the address/email id/mobile number if not intimated by me/us. //We anviare aware that for change in the address/email id/mobile number if not intimated by me/us. //We anviare aware that for introde they set belongs to Name of relative Imail the physical delivery of various communication The mobile number methored here belongs to Name of relative Imain change therein immediately, and I/we may beliable	Full name of Third Holder in the Bank I am enclosing the following documer 1. Cancelled personalized cheque leaf 3. In case Bank Account in Joint Name	nts as proof that the afore of the above mentioned NOC from Account holde	Bank Account and 2. Ba er is required.	nk statement/Bank Pa A/c Type : ☑Online	Offline Bank Na	
3.Request Form for Accepting Contract Notes and other Communication through Electronic mode and on Mobile Phone: Only for trading) Email ID: AJAY.PATIL@CMAIL_COM We hereby agree to receive: (Please tick any one) © Contract notes and other communication on Email and mobile Contract notes and other communication through physical mode* Please send all communication at my/our email id and mobile number as mentioned in the client registration form. // We agree that all information sent to abovementioned email dishall be binding upon me/us. Further, I/We understand that nonreceipt of bounced mail notification by Kotak Securities Limited (KSL) a amount to delivery of the contact note or such other information at my /our email id. /We agree that KSL shall not be responsible for the non-receipt of the context note or such other information at my /our email id. /We agree that KSL shall not be responsible for the non-receipt of the context note or such other by me/us due to any changes in the address/email id/mobile number, //we an/are required to update the same to KSL in writing through a physical letter. If I/we avail online trading sen provided by KSL, the said updation can also be made through a secured access to the website. * Nominal charges may be debited to my account as per the prevalent policy of the company towards the physical delivery of various communication * Self Spouse Dependent parent Dependent children PAN of relative * Self Spouse Dependent parent Dependent parent Dependent parent * Self Spouse Dependent parent Name	2. Mode of Receiving Statement	of Account [Tick any o	ne] Please	e refer instruction D		CRM Query ID 6
Email ID: AJAY.PATIL@GMAIL.COM Mobile 9 9 8 7 7 6 5 CRM Query ID IWe hereby agree to receive: (Please tick any one) Contract notes and other communication on Email and mobile Contract notes and other communication at myour email id and mobile Please send all communication at myour email id and mobile CRM Query ID Please send all communication at myour email id and mobile understand that nonreceipt of bounced mail notification by Kotak Securities Limited (KSL) s anount to delivery of the contact note or such other information at my /our email id /IWe agree that KSL shall not be responsible for the non-receipt of the contro note/confirmation note/other correspondence by me/us due to any change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number metrioned here belongs to Name of relative Citent Signature Vise off Spouse Dependent parent Dependent children PAN of relative Citent Signature Vise for spouse Dependent parent Dependent children PAN of relative Citent Signature Citent Signature Vise brokey doclare Dependent parent Dependent children PAN of relative	Physical Form VElectronic For	m (Read the point #'D' ar	nd ensure that email id i	s provided in KYC app	lication form)	
Email ID: AJAY.PATIL@GMAIL.COM Mobile 9 9 8 7 7 6 5 CRM Query ID IWe hereby agree to receive: (Please tick any one) Contract notes and other communication on Email and mobile Contract notes and other communication at myour email id and mobile Please send all communication at myour email id and mobile CRM Query ID Please send all communication at myour email id and mobile understand that nonreceipt of bounced mail notification by Kotak Securities Limited (KSL) s anount to delivery of the contact note or such other information at my /our email id /IWe agree that KSL shall not be responsible for the non-receipt of the contro note/confirmation note/other correspondence by me/us due to any change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number if not intimated by me/us. /We am/are aware that fo change in the address/email id/mobile number metrioned here belongs to Name of relative Citent Signature Vise off Spouse Dependent parent Dependent children PAN of relative Citent Signature Vise for spouse Dependent parent Dependent children PAN of relative Citent Signature Citent Signature Vise brokey doclare Dependent parent Dependent children PAN of relative	2 Deguast Form for Accepting Con	turat Natas and other (nh Flastvanis mada .	and on Mahila Dhanas (
I/We hereby agree to receive: (Please tick any one) ✓ Contract notes and other communication on Email and mobile □ contract notes and other communication through physical mode* Please send all communication at my/our email id and mobile number as mentioned in the client registration form. I/ We agree that all information sent to above mentioned email id shall be binding upon me/us. Further, I /We understand that nonreceipt of bounced mail notification by Kotak Securities Limited (KSL) s amount to delivery of the contact note or such other information at my /our email id. I/We agree that KSL shall not be responsible for the non-receipt of the contint note/confirmation note/confirmation note/confirmation and my /our email id/mobile number if normation to the ma/are aware that fo change in the address/email id/mobile number. If New avail online trading sen provided by KSL, the said updation can also be made through a secured access to the website. * Nominal charges may be debited to my account as per the prevalent policy of the company towards the physical delivery of various communication The mobile number mentioned here belongs to Name of relative Client Signature ✓ Self Spouse Dependent parent Dependent children PAN of relative If the award part of any changes therein immediately, and I/we may be liable for any information is found to be false/misreading /misrepresenting. I/We are aware that the information provided herewith shall be updated in accounts maintained at Kotak Securities. Vise hereby declare that the details furnished above are true & correct to the best of my knowledge and undertake to inform you of any changes therein immediately, and I/we may be liable for any information is			communication tinou			
□ Contract notes and other communication through physical mode* Please send all communication at my/our email id and mobile number as mentioned in the client registration form. I/ We agree that all information sent to abovementioned email dishall be binding upon me/us. Further, I/We understand that nonreceipt of bounced mail notification by Kotak Securities Limited (KSL) samount to delivery of the contact note or such other information at my /our email id.//We agree that KSL shall not be responsible for the non-receipt of the continuet//the any are required to update the same to KSL in writing through a physical letter. If I/we avail online trading server provided by KSL, the said updation can also be made through a scured access to the website. * Nomial charges may be debited to my account as per the prevalent policy of the company towards the physical delivery of various communication The email ID mentioned here belongs to Name of relative Client Signature ✓ Self Spouse Dependent children PAN of relative Client Signature ✓ Self Spouse Dependent parent Dependent children PAN of relative Client Signature ✓ Self Spouse Dependent parent Dependent children PAN of relative Client Signature ✓ Self Spouse Dependent parent Dependent children PAN of relative Client Signature ✓ Self Spouse Dependent parent Dependent children PAN of relative Client Signature ✓ Self Spouse			 ct notes and other com			
Self Spouse Dependent parent Dependent children PAN of relative DECLARATION I/We hereby declare that the details furnished above are true & correct to the best of my knowledge and undertake to inform you of any changes therein immediately, and I/we may be liable for any information is found to be false/misreading/misrepresenting. I/We are aware that the information provided herewith shall be updated in accounts maintained at Kotak Securit under intimation to me. 1st Holder 2nd Holder 3rd Holder Mame AJAY RAMESH PATIL Name ACKNOWLEDGEMENT Date Date Date Dim Markey Y Y <th>Please send all communication at m abovementioned email id shall be bin amount to delivery of the contact no note/confirmation note/other correspo- change in the address/email id/mobil provided by KSL, the said updation can * Nominal charges may be debited to my acc The mobile number mentioned here b Self Spouse Dependent pa</th> <th>ay/our email id and mol nding upon me/us. Furth te or such other informa ondence by me/us due to e number, I/we am/are re also be made through a count as per the prevalent po velongs to Name of relative irrent Dependent chil</th> <th>bile number as mention ner, I /We understand t tion at my /our email i any change in the addr equired to update the secured access to the we licy of the company toward re</th> <th>hat nonreceipt of bou d. I/We agree that KSI ess/email id/mobile n same to KSL in writing ebsite.</th> <th>Inced mail notification by L shall not be responsible umber if not intimated by r g through a physical lette various communication</th> <th>Kotak Securities Limited (KSL) shall for the non-receipt of the contract me/us. I/We am/are aware that for any r. If I/we avail online trading services</th>	Please send all communication at m abovementioned email id shall be bin amount to delivery of the contact no note/confirmation note/other correspo- change in the address/email id/mobil provided by KSL, the said updation can * Nominal charges may be debited to my acc The mobile number mentioned here b Self Spouse Dependent pa	ay/our email id and mol nding upon me/us. Furth te or such other informa ondence by me/us due to e number, I/we am/are re also be made through a count as per the prevalent po velongs to Name of relative irrent Dependent chil	bile number as mention ner, I /We understand t tion at my /our email i any change in the addr equired to update the secured access to the we licy of the company toward re	hat nonreceipt of bou d. I/We agree that KSI ess/email id/mobile n same to KSL in writing ebsite.	Inced mail notification by L shall not be responsible umber if not intimated by r g through a physical lette various communication	Kotak Securities Limited (KSL) shall for the non-receipt of the contract me/us. I/We am/are aware that for any r. If I/we avail online trading services
I / We hereby declare that the details furnished above are true & correct to the best of my knowledge and undertake to inform you of any changes therein immediately, and I/we may be liable for any information is found to be false/misrepresenting. I/We are aware that the information provided herewith shall be updated in accounts maintained at Kotak Secure under intimation to me. Ist Holder ALAY RAMESH PATIL Name Name Name Name Name Name Name Name			ldren PAN of relative			\smile
1st Holder 2nd Holder 3rd Holder Image: AJAY RAMESH PATIL Name Name Name AJAY RAMESH PATIL Name Name ACKNOWLEDGEMENT Name Name Name Date D /M /Y Y Y Bank Updation/RTGS Facility Yes No CRM Query ID 5 having Trading Code Image: Column to the text of the text of text	I / We hereby declare that the details furnis liable for any information is found to be fal					
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Contract Note/Communication Yes No CRM Query ID 7	Contract Note/Communication Yes					
Name of the Employee Employee Code				Employee Code		(Company Seal) Employee Signature
Your request will be processed within a tentative period of 7 days from the date of receipt of complete documents. In case of queries regarding the status of the request, We request you to call on Customer Service No. 1800 209 9191. Demat related complaints write at ks.demat@kotak.com for any other queries or	Your request will be processed within a tentative			. In case of queries regarding		



Kotak Securities Ltd. Kotak Infinity, 8th floor, Building No 21, Infinity Park, Off Western Express Highway, Gen A. K. Vaidya Marg, Malad (E), Mumbai - 400097.

IMPORTANT POINTS :

- 1. Self attested copy of PAN card and all other documents are mandatory all clients and persons authorized to deal in securities onbehalf of for company / firm / others.
- 2. Copies of all documents submitted by the client should be verified with original by Kotak Securities employee / Registered Authorised Person. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list. List of people authorized to attest the documents: Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy). In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIOCard / OCI Card and over seas address proof Is mandatory.
- 8. In case you submit deemed Officially Valid Document (OVD) for proof of address as your Officially Valid Document (OVD) does not contain updated address then you are required to submit OVD or their equivalent E-document within a period of three months, failing which we may suspend your account.

General Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Tick ' $\sqrt{}$ ' wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM.YYYY format.
- E) For particular section update tick ($\sqrt{}$) in the box section number and strike off the sections not required to be updated.
- F) Please read section wise detailed guideliness/ instruction at the end.
- G) KYC number of applicant is mandatory for update application.
- H) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode.

A. Clarification / Guidelines on filling 'Personal Details' section

- 1. Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2. One the following is mandatory: Mother's name, Spouse's name, Father's name.

B. Clarification / Guidelines on filling 'Current Address details' section

- 1. In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
- 2. POA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
- 3. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 4. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
- 5. "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 6. 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.

List of documents for 'Deemed Proof of Address':

Sr.No.	Description
•	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
•	Property or Municipal tax receipt.
•	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
•	Letter of allotment of accommodation from employer issued by State Government or Central Government. Departments, statutory or regulatory bodies

- public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting offical accommodation
- 7. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
- 8. "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 9. 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.

C. Clarification / Guidelines on filling 'Contact details' section

- 1. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2. Do not add '0' in the beginning of Mobile number.

D. Mode of Receiving Statement of Account

Notes: For receiving Statement of Account in Electronic form:

- I. Client must ensure the confidentiality of the password of the email account.
- II. Client must promptly inform the Participant if the email address has changed.
- III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

E. BANK DETAILS UPDATION/RTGS FACILITY

In case you wish to have direct Access Facility with the new Bank Account and Power Of attorney has not been registered against this Account, please submit a copy of the "Linking Letter" to your Bank for linking the same. Please note that in absence of the same, you will be unable to transfer funds to your Trading Account.



Kotak Securities Ltd. Kotak Infinity, 8th floor, Building No 21, Infinity Park, Off Western Express Highway, Gen A. K. Vaidya Marg, Malad (E), Mumbai - 400097.

FATCA / CRS DECLARATION FOR INDIVIDUAL ACCOUNTS

Note - The information in this section is being collected because of enhancements to Kotak Securities Limited's new account on-boarding procedures in order to fully comply with Foreign Account Tax Compliance Act (FATCA) requirements and the Common Reporting Standards (CRS) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962. For more information refer: http://bit.ly/29F0475 & http://bit.ly/1pXp8Bg (We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

1st Holder - Section I (All fields are mandatory) (Please fill in BLOCK LETTERS)

			Details of A	lecountrionaen	
1	1 Trading code: Client ID (To be provided only if demat account is with KSL):		ABCD2 / 12345678 ere		
2	Name of Account Holder		AJAY RAMESH PAT	Ĺ	
3	Address for Tax Residence (Other t	than India) 1101, A WING, SHREE R	ESIDENCY, SATYAM COLON	Y, SHARAD NAGAR,	DADAR EAST,NEAR GAN
	City MUMBAI	State MAHARASHTRA	CountryINDIA		de 4 0 0 0 2 8
4	Address Type (Tick whichever applica	able)	(a) Residential 🗹 (d) Residential/ Business 🗌	(b) Business 🗌 (e) Unspecified 🗌	(c) Registered Office \Box
5	Do you satisfy any of the criteria r	nentioned below?	Yes		No
	a. Citizen of any country other than Inc	lia (dual / multiple) [including Green card]			\checkmark
	b. Country of birth is any country other	than India			\checkmark
	c. Tax resident of ANY country/ies othe	er than India			\checkmark
	d. POA or a mandate holder who has ar	n address outside India			\checkmark
	e. Address or telephone number outsid	e India			\checkmark
	If your answer to any of the above ques	tions is a 'YES', please fill Section II of the f	orm, else go to declaration & ack	nowledgment	
1s1	Holder - Section II (All fields are mar	ndatory)			
ath	er's name RAMESH PATIL			(If PAN no	ot available, then mandatory)
	ntry of Birth' INDIA	Place wi	thin the country of birth DADA		
	-	residency is not USA, please provide documenta			
Sou	rce of WealthSERVICE	NationalityINDIAN			
		ies of tax residency/ permanent residency/ citizer	nship and ALL Tax Identification Numb	pers	
	Country of Tax residency	Tax identification document (TIN or functional equivalent)			
IN	· · · · · ·	Tax identÌfication no			
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'(If USA, however nationality and/or country of tax residency is not USA, please provide documentary evidence as mentioned in Instruction 1 Source of Wealth ______ Nationality______

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

Country of Tax residency	Tax identification no	Tax identification document (TIN or functional equivalent)

³It is mandatory to supply a TIN or functional equivalent (in case TIN not available)if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.



Kotak Securities Ltd. Kotak Infinity, 8th floor, Building No 21, Infinity Park, Off Western Express Highway, Gen A. K. Vaidya Marg, Malad (E), Mumbai - 400097.

3rd Holder - Section I (All fields are mandatory) (Please fill in BLOCK LETTERS)

			De	tails of Account H	older
1	Trading code: Client ID (To be provided	d only if demat account is with KSL):		
2	2 Name of Account Holder				
3	Address for Tax Residence (Other than India)				
	City	State	Country		Pin Code
4	Address Type (Tick whichever applicab	e)	(a) Residential (d) Residential/ Busin		ess (c) Registered Office ecified
5	Do you satisfy any of the criteria me	ntioned below?	Ye	25	No
	a. Citizen of any country other than India	(dual/multiple)[includingGreencar	rd]]	
	b. Country of birth is any country other th	an India]	
	c. Tax resident of ANY country / ies other t	han India			
	d. POA or a mandate holder who has an a	ddress outside India]	
	e. Address or telephone number outside I]	
	If your answer to any of the above question	ons is a 'YES', please fill Section II of th	ne form, else go to declarat	on & acknowledgm	ent
3re	d Holder - Section II (All fields are manda	atory)			
Fatł	ner's name				(If PAN not available, then mandatory)
Cou	untry of Birth'				
'(If L	JSA, however nationality and/or country of tax res	idency is not USA, please provide docume	entary evidence as mentioned ir	Instruction 1)	
Sou	rce of Wealth	Nationality			
Plea	se list below the details, confirming ALL countries	5 1 5	tizenship and ALL Tax Identifica	tion Numbers	
	Country of Tax residency	Tax identification no	Tax identif	ication document	(TIN or functional equivalent)
	mandatory to supply a TIN or functional equivale		y in which you are tax resident i	ssues such identifiers. I	f no TIN /functional equivalent is yet available or
	not yet been issued, please provide an explanatior claration & Acknowledgment	i below.			
I/W info that and cert aut suc acco out if ar	I/We being the beneficial owner of the account opened / to be opened with Kotak Securities Limited(KSL) and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed. I/We acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the KSL may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the KSL does not receive a valid self- certification from me) KSL may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me I/We ensure that I/We will advise KSL promptly, i.e., within 30 days. Towards compliance with such laws, the KSL may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the KSL may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s). I/We further agree that the information provided above will be updated in all my trading/demat accounts, if any at PAN level.I/We also understand that the account will be reported if any one of the aforesaid FATCA/CRS criteria for any of the account holders i.e. primary or joint (in case of demat account) are met.				
	ny at PAN level. I/We also understand that the	e account will be reported if any one		is criteria for any of	the account holders i.e. primary or joint (in
	ny at PAN level. I/We also understand that the	e account will be reported if any one Client Signature (2			the account holders i.e. primary or joint (in Signature (3rd Holder)
	ny at PAN level.I/We also understand that the of demat account) are met.				
Clie	ny at PAN level.I/We also understand that the of demat account) are met.	Client Signature (2	nd Holder)	Client	
Clie Off	hy at PAN level.IWe also understand that the e of demat account) are met. ent Signature (1st Holder)	Client Signature (2	nd Holder) Designation of	Client	
Clie Off	ny at PAN level.I/We also understand that the e of demat account) are met. ent Signature (1st Holder)	Client Signature (2	nd Holder)	Client	

Instructions to the Form

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

Sr. No.	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
1	U.S. place of birth	 Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2	Residence/mailing address in a country other than India or Telephone number in a country other than India	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)
3	Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)
4	POA granted to a person with an address in a country outside India	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes: 1. Certificate of residence issued by an authorized government body* 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.) *** Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**