



Admn. Off.: Kotak Infinity, 8th Floor, Building No. 21, Infinity Park, Off Western Express Highway,
Gen. A.K. Vaidya Marg, Malad (E), Mumbai - 400097.
Reg. Off.: 27BKC, C27, G Block, Bandra Kurla Complex, Bandra (E) Mumbai 400051

SEBI Registration No. : INZ000200137 (Member of NSE, BSE, MSE, MCX & NCDEX)
Member Code : NSE : 08081, BSE : 673, MSE : 1024, MCX : 56285, NCDEX : 1262

(Ver. 3.00)

TERMINAL REQUEST FORM				ANNEXURE - D (Tick as applicable)							
Application used by Branch/Registered SubBroker / AP				<input type="checkbox"/> ODIN	<input type="checkbox"/> Greek	<input type="checkbox"/> P-Trader					
Category	<input type="checkbox"/> Fresh	<input type="checkbox"/> Modification	Division	<input type="checkbox"/> Branch	<input type="checkbox"/> Sub Broker / AP						
Branch / SB / AP Name			Location code								
Reason for Modification											
Personal Information (Please fill all the fields in block letters)											
Name of the Employee / Approve User of Franchisee - Mr. / Ms. / M/s											
Employee ID/Sub broker/AP Main Code											
Terminal Address				Pin Code							
City				State							
Mobile No.				STD & Phone							
Email Id											
Alternate Email											
RM Name											
Exchange / Segment to be register		NSE : <input type="checkbox"/> CASH		<input type="checkbox"/> F&O		<input type="checkbox"/> CDS		<input type="checkbox"/> Commodity			
		BSE : <input type="checkbox"/> CASH		<input type="checkbox"/> Derivative		<input type="checkbox"/> CDS		<input type="checkbox"/> Commodity			
		MSE : <input type="checkbox"/> CASH		<input type="checkbox"/> F&O		<input type="checkbox"/> CDS					
		<input type="checkbox"/> MCX Commodity Derivative		<input type="checkbox"/> NCDEX Commodity Derivative							
Connectivity Mode		INTERNET	VSAT	LAN	ISDN	VPN	DAIL UP	LEASED LINE	OTHER		
Cost to be borne		<input type="checkbox"/> KS		Rs.		<input type="checkbox"/> SB / AP		Rs.			
If Recovery is to be distributed over a period of time,than provide following details with appropriate approval											
Recovery Period (in Months)					Amount in Rs.		Rs.			(Per Month)	
Recording Machine Installed		Yes / No			Company Name						
Note											
<ul style="list-style-type: none"> In case of New Terminal attach mail from IT Person confirming presence of Recording Machine No terminal shifting to be done unless approved by KSL/HO Pre-requisites for ODIN/KS Dealer on Broad Band User are requested not to install this software on mobile computers like LAPTOPS 											
		Name					Sign				
Employee / SB / AP											
Branch Head											
Operation Head											
Head Office Employee											



KOTAK SECURITIES LTD.

SEBI Registration No. : INZ000200137 (Member of NSE, BSE, MSE, MCX & NCDEX)

Member Code : NSE : 08081, BSE : 673, MSE : 1024, MCX : 56285, NCDEX : 1262

(This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients)

Please affix
and sign
on the
photograph

Employee / **Franchisee**

Dear Sir/Madam,

I request you to appoint me as your approved user. In this regard, I submit herewith the following information :

Dealers Name	First	Middle	Surname
Father/ spouse Name			
Date of Birth			
Sex	Male / Female	PAN No.	
Mobile No.		STD & Phone	
Email Id			
Resident Address			Pin Code
City		State	

I hereby declare that all the information and particulars given by me in this application are true to the best of my knowledge and belief. I agree to immediately inform you if there is any change in any of the information given in this application or in the Annexure(s) to this application. I also declare and agree that if any of the above statements are found to be incorrect or false or any information or particulars have been suppressed or omitted there from, I am liable to be debarred from doing business in the Cash / Derivatives / Currency / Commodity Segments. I also agree to furnish such further information as you or the Exchange may require from me/us from time to time and I agree that if I fail to give such information, you shall have the right to cancel my appointment shall have the right to debar me/us from doing business in the Cash / Derivatives / Currency / Commodity Segments of the Stock Exchange.

Signed and delivered by Applicant

Signature	
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List of Documents to be submitted

- A. Proof of Identity - Pan Card (Mandatory)
- B. Proof of Residence - Bank Passbook or Bank Statement (not more than 3 months old) / Ration card / IT Returns / Electricity bills (not more than 3 months old) / Driving Licences / Passport / Election ID card / Telephone Bills (not more than 3 months old)

* Please fill up the form in CAPITAL LETTERS.

To :

Kotak Securities Limited

Kotak Infinity, 8th Floor, Building No. 21, Infinity Park, Off Western Express Highway,
Gen. A.K. Vaidya Marg, Malad (E), Mumbai – 400097.

Dear Sir,

I/We Mr/Ms/M/s _____ (Name of the AP / SB) Main Code _____ wish to appoint that Mr./Ms. _____ (Name of User) as an Approved User at our trading office situated at _____ where we have the ODIN/P Trader Terminal connectivity. The details of the office where the terminal is located and other relevant details are furnished above.

This is to confirm that following person appointed or for whom the application for dealer has been made is in employment with us.

Name of Employee	Segment/s	Certificate validity

Any changes with respect to his/her employment will be intimated to Kotak Securities Ltd. without any delay on our part, so as to enable them to update their records with the exchange. We are fully aware that in case of any deviation, we will be solely held responsible.

I/We hereby agree and bind myself/ourselves to be responsible for all acts, quotations made and transactions done, trades made, or effected by Mr./Ms. _____ on the Trading System located at the aforesaid premises. In case of Mr./Ms. _____ ceasing to be associated with us as _____, we shall communicate to you and seek the disablement of the User ID so allotted against this application. We are also aware that the above certificate has a validity of period or upon resignation of the approved user and accordingly the User IDs so allotted would be withdrawn on the expiry of such period unless the said certification is revalidated. I / We certify that we have not applied for any other User ID in the name of Mr. / Ms. _____ on the same segment for which this ID's now being applied for. The User ID so allotted would be utilized by Mr. / Ms. _____ only to access the ODIN/P-trader trading system.

Date:

Place:



Stamp & Signature of the Sub-Broker/AP

I hereby declare that I am aware about the Rules, Regulations and Bye-laws of the Exchange. I agree to become a User of M/s _____ (Sub-Broker/AP). I would intimate to Kotak Securities Limited on ceasing to be an User of Mr/Ms/M/s _____ (name of the SubBroker/AP). I certify that I have not applied for any other User ID with any other Sub-Broker / Trading Member. I will not allow anybody else to access / use the ODIN/P-Trader Trading System using the User ID so allotted to me.

Date:



Name and signature of User