SELF DECLARATION FOR FINANCIAL DETAILS (For Individuals)

Date:

To,

Kotak Securities Limited

I/We_____(Name of the client) having trading code_____hereby provide following information.

1. Occupation_____Nature of business: _____
2. Company Name_____Designation_____
3. Specify your income range for the year ended with date (DD/MMM/YYYY) by ticking against the relevant slab:
   ❑Below Rs. 1 lac ❑Rs1-5 lacs ❑5-10 lacs ❑10-25 lacs ❑ more than 25 lacs
4. Gender : ❑ M / ❑ F Marital Status :- ❑ Married / ❑ Unmarried
5. Date of birth: (DD/MMM/YYYY)
6. Father's/Husband's/Guardian's Name: _____
7. Exact Income Rs._____as on_____(date/year)
8. Net worth: Rs._____as on_____(date/year)
9. Whether borrowed fund used for trading in securities market: Yes/No
10. Risk appetite : High/Medium/Low
11. Are you politically exposed person**: Yes/no
12. Is your family member or close relative a politically exposed person**: Yes/no

I hereby confirm that above declaration is correct and am also attaching one of the following documentary proofs in support thereof:

i. Copy of latest ITR Acknowledgement
ii. Copy of latest Annual Accounts
iii. Net worth certificate by a CA/CS/ICWA*
iv. Copy of Form 16 in case of salary income or Salary Slip
v. Any other relevant documents substantiating ownership of assets.

*** Net worth means Assets (Excluding immovable properties) – Liabilities**

 # Bank, Address, Email, Contact & PAN details are mandatory to be updated before activation of F&O

Name of the client -_____

Signature: ----------

Note: Trading in F&O involves certain element of financial risk and therefore as per SEBI regulations, it is important that person has liquid net worth (i.e. excluding immovable property). Further, as per Prevention of Money Laundering Act (PMLA), trading of the client has to be in sync with his net worth/income. Accordingly minimum net worth required for trading in F&O is Rs. 50000. Any document which evidences income/net worth like form 16, ITR Acknowledgement, salary slip, net worth certificate is an acceptable proof. We strongly recommend that you provide any one of those documents to us to support your claim of income/net worth as ticked in KYC. In its absence, you may provide either the bank statement, DP or mutual fund statement with valuation which gives details of your assets with declaration that these assets represent your net worth. In case there are any borrowings against the said assets, same need to be reduced while giving self-declaration of net worth.

**Politically Exposed Person : Senior figure who is or has been entrusted with prominent public functions e.g., Head of State or of Government, senior politician, senior government / judicial / military officer, senior executive of state-owned corporation, important political party official, etc.