

SELF DECLARATION FOR FINANCIAL DETAILS (For Non-individuals)

To,

Date: 27/10/2022

Kotak Securities Limited.

I/we, ABC Pvt.ltd . (Name of the client) having trading code 123NW

hereby provide following information.

1. Occupation Business Nature of business: MANUFACTURING
2. Name of Director/Trustee/Partner/Karta & co parcener: SEEMA KHANNA 2) VINOD KHANNA
3. Pan Details of Director/Trustee/Partner/Karta & co parcener: DIRECTOR 2) DIRECTOR
4. Director Identification number 1 DIN - 123456, Director 2 DIN - 456789
5. 21 digit CIN number of Corporate : U72200KL2002PTC123456
6. Address Details of Director/ Trustee/ Partner/ Karta & co parcener 1: **(Address & PAN proof required)**
501,5TH FLOOR, OMKAR CO-OP SOC,MUMBAI
7. Address Details of Director/ Trustee/ Partner/ Karta & co parcener 2: **(Address & PAN proof required)**
501,5TH FLOOR, OMKAR CO-OP SOC,MUMBAI
8. Contact details of Director/Trustee/Partner/Karta & co parcener: 1) 6666666666 2) 8888888888
9. Email ID of Director/Trustee/Partner/Karta & co parcener:
1) ABC@GMAIL.COM 2) KBC@GMAIL.COM
10. Registration / Commencement Date: (27/10/2022) Place of Registration: MUMBAI
11. Specify your income range for the year ended date (27/10/2022) by ticking against relevant slab:
☐ Below Rs. 1 lac ☐ Rs1-5 lacs ☐ 5-10 lacs ☐ 10-25 lacs ☒ 25 lacs-1crore ☐ more than 1 crore
12. *Networth: Rs. 5 CR as on date (31/03/2022)
13. Whether borrowed fund used for trading in securities market: Yes/☒ No
14. Risk appetite : High/☒ Medium/Low
15. Are your promoter/Directors/Trustees/Karta etc. is politically exposed person**: Yes/☒ No
16. Are your promoter/Directors/Trustees/Karta etc. related to any politically exposed person* : Yes/☒ No

*** Bank, Address, Email, Contact & PAN details are mandatory to be updated before activation.**

I/We hereby confirm that above declaration is correct and are attaching one of the Financial documentary proofs in support thereof. Further, as per SEBI requirement we are submitting updated list of directors/trustees/partner.

☐ We undertake that we will submit a certified copy of Annual Balance sheet every year before 31st October.

* Net worth means liquid net worth i.e. Assets (excluding immovable properties) – Liabilities

**Politically Exposed Person : Senior figure who is or has been entrusted with prominent public functions e.g., Head of State or of Government, senior politician, senior government / judicial / military officer, senior executive of state-owned corporation, important political party official, etc.

For YOUR COMPANY NAME HERE

Authorized Signatory

Signature: -----

(Authorized Signatory name) SEEMA KHANNA

Note: Trading in F&O involves certain element of financial risk and therefore as per SEBI regulations, it is important that person has liquid net worth (i.e. excluding immovable property). Further, as per Prevention of Money Laundering Act (PMLA), trading of the client has to be in sync with his net worth/income. Accordingly minimum net worth required for trading in F&O is Rs. 50000.

Note - If exact income is 1Cr. or more and net worth 5Cr Or more, then financial proof is required

Financial Documentary proofs LIST: (Any 1)

- i. Copy of latest ITR Acknowledgment
- ii. Copy of latest Annual Accounts
- iii. Net worth certificate by a CA/CS/ICWA*
- iv. Any other relevant documents substantiating ownership of assets.

* Net worth means liquid net worth i.e. Assets (excluding immovable properties) – Liabilities