





Multiple Nomination Form															Query ID									
FORM FOR NOMINATION (To be filled in by individual applying singly or jointly)															Trading Code / UCC									
Date		D	D	M	M	Y	Y	Y	Y						DP ID		I	N	3	0	0	2	1	4
Client ID																								
I / We wish to make a nomination. [As per details given below]																								
Nomination Details																								
<input type="checkbox"/> I / We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																								
Nomination can be made upto three nominees in the account.										Details of 1 st Nominee					Details of 2 nd Nominee					Details of 3 rd Nominee				
1		Name of the nominee(s) (Mr./Ms.)																						
2		Share of each Nominee		Equally [If not equally, Please specify Percentage]						%					%					%				
Any odd lot after division shall be transferred to the first nominee mentioned in the form.																								
3		Relationship with the Applicant (If Any)																						
4		Address of Nominee(s) City / Place : State & Country :																						
		PIN Code																						
5		Mobile/ Telephone No. of nominee(s) #																						
6		Email ID of nominee(s) #																						
7		Nominee Identification details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID																						
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																								
8		Date of Birth (in case of minor nominee(s))																						
9		Name of Guardian (Mr./ Ms.) {in case of minor nominee(s)}																						
10		Address of Guardian(s) City / Place : State & Country :																						
		PIN Code																						
11		Mobile/ Telephone No. of Guardian(s) #																						
12		Email ID of Guardian(s) #																						
13		Relationship of Guardian with nominee																						
14		Guardian Identification details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID																						
Name(s) of holder(s)															Signature(s) of holder									
Sole / First Holder (Mr./Ms.)																								
Second Holder (Mr./Ms.)																								
Third Holder (Mr./Ms.)																								
* Mandatory details of witness required for Nomination in case the account holder affixes thumb impression, instead of signature.																								
# Optional Fields (Information required at Serial Nos. 5,6,7,11,12, & 14 is not mandatory)																								
* Mandatory details of witness required for Nomination in case the account holder affixes thumb impression, instead of signature.																								
Name of the Witness										Address of the Witness										Signature of the Witness				
																								



Date D D M M Y Y Y Y Y

ACKNOWLEDGEMENT

Nomination Form

From

having Trading Code

Query ID

Client ID




Your request will be processed within a tentative period of 7 days from the date of receipt or complete documents. In case of queries regarding the status of the request, We request you to call on **Customer Service No. 1800 209 9191**. Demat related complaints write at ks.demat@kotak.com


For any other queries or complaints write at service.securities@kotak.com

Kotak Infinity, 8th Floor, Bldg. No. 21, Infinity Park, Off Western Express Highway, Gen A.K. Vaidya Marg, Malad (E), Mumbai - 400 097 Tel.: 91-22-4285 6825

Declaration Form for opting out of nomination

Kotak Infinity, 8th Floor, Bldg. No. 21, Infinity Park, Off Western Express Highway, Goregaon-Mulund Link Road, Malad (E) , Mumbai - 400 097 ✆ 4285 6825		Date								
		D	D	M	M	Y	Y	Y	Y	
		Query ID								
		Trading Code / UCC								
		DP ID	I	N	3	0	0	2	1	4
Client ID (only for Demat account)										
Name(s) of holder(s)										
Sole / First Holder Name										
Second Holder Name										
Third Holder Name										
<input type="checkbox"/> I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.										

Signature(s) of holder	
Sole / First Holder (Mr./Ms.)	
Second Holder (Mr./Ms.)	
Third Holder (Mr./Ms.)	

* Mandatory details of witness required for Nomination in case the account holder affixes thumb impression, instead of signature.		
Name of the Witness	Address of the Witness	Signature of the Witness
		

Notes :

- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm and Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- Only individual / natural person(s) can be a nominee(s). The Nominee(s) shall not be artificial person created/dressed by the law or by a fiction such as trust, society, body corporate, partnership firm, Hindu Undivided Family., etc. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate partnership firm and Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
- Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- Savings bank account details shall only be considered if the account is maintained with the same participant.
- DP ID and client ID shall be provided where demat details is required to be provided.
- This nomination shall supersede any prior nomination made by the account holder(s), if any.
- The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)



Date

D	D	M	M	Y	Y	Y	Y
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Declaration Form for opting out of nomination

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having Trading Code

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