

NOTICES

Notice No.	20240103-28	Notice Date	03 Jan 2024
Category	Compliance	Segment	General
Subject	Centralized mechanism for reporting the demise of an investor through KRAs		
Attachments	Annexure_A_Common_SOP_for_Centralized_Demise_information.pdf		

Content

This is with reference to SEBI circular-SEBI/HO/OIAE/OIAE_IA-1/P/CIR/2023/0000000163 dated October 03, 2023.

With a view to have standard procedures for operationalizing the above referred circular across all securities market stakeholders, a Standard Operating Procedure (SOP) has been prepared in consultation with Stock Exchanges, Depositories, industry associations like Association of Mutual Funds in India (AMFI), Registrars Association of India (RAIN), MF registrars, KRAs, Association of Registered Investment Advisers [ARIA], Broker's association, etc. The said SOP is attached as Annexure A.

Based on the above SOP, the KRA shall provide the list of PANs which fall under the above category to Exchange on daily basis. The Exchange shall de-activate the UCCs of such PANs with immediate effect.

All Trading Members are required to take a note of the SOP and publish the same on their websites.

For and on behalf of BSE Ltd.

Abhijit Pai
Deputy General Manager

Shilpa Mhapsekar
Manager

Investor Services

Investor Services