



Central Depository Services (India) Limited

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COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/A,I&C/DP/POLCY/2022/319

June 07, 2022

DISCLOSURE OF INVESTOR CHARTER FOR DEPOSITORIES AND DEPOSITORY PARTICIPANTS

DPs are advised to refer to Communique no. CDSL/OPS/DP/POLICY/2021/589 dated December 25, 2021, advising DPs to bring the Investor Charter and its linked contents to the notice of their clients through disclosing the Investor Charter on their respective websites. Further the DPs were advised to make the Investor Charter available at prominent places in their office, provide a copy of Investor Charter as a part of account opening kit to the clients through emails/letters etc.,

CDSL, as a Depository has made the Investor Charter available on URL:

<https://www.cdslindia.com/Investors/InvestorCharter.html>

DPs may note that on verification of the said compliance it has been observed that there is no uniformity in complying with the said circular by the DPs. Further the link to the Investor Charter published is not easily accessible and the Investor Charter is not published in true letter and spirit. In some cases, it is observed that the DPs have published the charter with SEBI name and emblem and not having any connect to the respective DP.

DPs are once again advised to review the above and ensure that the Disclosure of the Investor Charter is displayed on their website with proper link in their homepage under the respective DP name.

DPs are requested to take note of the same and ensure compliance and confirm the same by providing the details of the link where the Investor Charter is published through email addressed to audit@cdslindia.com on or before 20th June 2022 with subject head as "Investor Charter and DP ID and DP Name".

Queries, if any, regarding this communiqué may be addressed to CDSL-Audit on (022) 2305 8679 / 2305 8678 / 23058515 or 2305 8513.

sd/-

Ajit Prabhu
Sr. Manager – Audit, Inspection and Compliance