

Trading Declaration
(To be signed by the client)

To,
Kotak Securities Ltd
Mumbai.

Sub: Account opening application

***Account Type: Online / Offline**

Dear Sir,

I,s/o or w/o
age..... years, am willing to open trading account with you and I have submitted an
account opening form for the same. In this regard I submit following information:

1) Financial details	Annual Income: Rs.....	Total Networth: Rs.....
2) Investment objective	Short Term/Medium Term/Long Term
3) Risk Appetite	Low/Medium/High
4) Whether dealt / Experience in Cash in the Past.	Yes/ No	If yes, name of the broker
5) Whether dealt / Experience in derivatives in the Past.	Yes/ No	If yes, name of the broker

Having understood that investment in securities and particularly in Derivatives
involves risk including risk of leveraging and unlimited loss etc., I am willing to
open the trading account at my own risk and consequences.

Thanking you

Name of the client and signature

****Note ~ Please Tick the Type of Account (Online / Offline) wishes to open***

Report prepared by RM and verified by regional head

- 1. Met the client personally: Yes/ No.
- 2. Physical health of the client: Good/ suffering from any disease. Name of Disease
.....
- 3. Client will place trades directly Yes/No. If through authorize person, name
.....and relation with client..... (Attach copy of Authority letter along with “verified with original” of valid ID and Address proof of authorized person)
- 4. Financial Background of the client (Describe the same)–.....
.....
- 5. Educational Background.....
- 6. Professional Background. (Describe the Same).....
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- 7. Should cash market trading be permitted to the client– Yes/No (state the reason) along with due diligence carried out.....
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- 8. Should Derivatives trading be permitted to the client– Yes/No (state the reason) along with due diligence carried out.....
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- 9. Should CDS trading be permitted to the client– Yes/No (state the reason) along with due diligence carried out.....
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Name and signature of the RM:
Branch details:
Contact details:

Name and signature of the Regional Head:
Region details:
Contact details:

Name and signature of CFO:
Trading account to be opened– Yes/No
Derivatives trading to be permitted–Yes/No