

To,
Kotak Securities Ltd
8th Floor, Kotak Infinity,
Infinity Park, Bldg. No 21,
Opp. Film City Road, A K Vaidya Marg,
Mumbai 400097.

Dear Sir / Madam,

Sub: Modification in the Common details/ additional details mentioned in the KYC

Ref: Client Code:

- I / We _____ registered with you as a client and wish to modify/add Trading Preference mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time thereafter.
- I wish to activate following segment/exchange by signing across it in addition to the segment/exchange earlier opted by me/us.

***Please sign in the relevant boxes where you wish to trade. Kindly note that if you wish to trade in F&O/CDS providing E-mail ID is mandatory.**

Exchange	Cash	Equity F&O	Commodities F&O	CDS/IRF
NSE				
BSE				
MSE	N.A	N.A	N.A	
MCX	N.A	N.A		N.A
NCDEX	N.A	N.A		N.A
All of above				

- I/We understand that the brokerage and other charges for above selected segment will be same as being charged to me/us in the same segment of other exchanges. OR
I/we understand that brokerage & other charges in the selected segment of the Exchange shall be charged as per attached brokerage sheet.
- I/We further confirm having received/read and understood the contents of the 'Rights and obligations' document(s), 'Risk Disclosure Document, Additional Risk Disclosure documents for Options Trading and 'Guidance Note including commodities'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Kotak Securities Ltd website i.e. www.kotaksecurities.com. (<http://www.kotaksecurities.com/ksweb/Important-Policies>)
- I/we confirm that the details furnished in the 'Know Your Client' document at the time of account opening or subsequently stands true and correct as on date. In case of any changes therein, I undertake to update the same immediately.
- : I / we hereby authorize KSL to obtain my / our demat holding statement on periodic basis from my /our KSL demat account. Or : I / we hereby authorize KSL to obtain my / our bank account statement of my / our Kotak Mahindra Bank Limited's bank account registered with KSL on periodic basis for the purpose of updating my / our financial details and I / We have no objection to Kotak Mahindra Bank Ltd for sharing my /our Bank account statement/s with Kotak Securities Limited or *I/we have enclosed herewith my updated financial document for your records.
- **We have also enclosed herewith the certified true copy of Board Resolution (Corporate/Trust) / Authority Letter (Partnership Firm) for trading on above mentioned segment/Exchange.
- I/We understand that the Know Your Client (KYC), mandatory documents and voluntary documents like Power of Attorney, Running Account Authorizations, FATCA/CRS Declaration, GST details, Nomination etc. where ever applicable, executed at the time of registering me/us or at any time thereafter, applies mutatis mutandis to trade(s) in MCX, NCDEX, BSE and NSE (Commodity segments). I/we undertake to update the same immediately, in case of any changes.
- All rights, responsibilities, roles, obligations, duties, consents, authorizations etc given to KSL or otherwise as mentioned in agreements, Arrangements, contracts or documents shall stand applicable to Commodity Segment without any further communication in this regard.
- Pursuant to my/our aforesaid request, I/we am/are aware of my/our funds and/or securities being moved in the below mentioned accounts of Attorney holder in addition to the accounts enlisted in the Power of Attorney executed by me:

Details of Demat Account

CMBP ID/DP ID	CM Name	Description
IN473531	Kotak Securities Ltd	MSE - CM Pool A/c
IN620031	Indian Clearing Corporation Ltd.	BSE-Star MF CLG Pool
IN565576	NSE Clearing Ltd.	NSE MFSS CLG Pool

Details of Bank Accounts

Bank account number	Name of the bank
2211382395	Kotak Mahindra Bank Limited
2211382388	Kotak Mahindra Bank Limited
9411370887	Kotak Mahindra Bank Limited
09582650006423	Kotak Mahindra Bank Limited
09582650006524	Kotak Mahindra Bank Limited

12. **Applicable only if commodities segment is opted:** I/We give my consent to send contract notes via electronic mode on my registered email id in KSL records. I/We are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract at the above email ID.

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is* _____ . This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

The above declaration and the guidelines on ECN have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

13. **Applicable only if commodities segment is opted:** Open Position Declaration: I/We the undersigned, have taken cognizance of circulars issued by Commodity Exchanges/SEBI from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I/we hereby declare and undertake that I/we will not exceed the position limits prescribed from time to time by Commodity Exchanges or FMC or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/we undertake to inform you and keep you informed if I/any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUFs/ Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on Exchanges through you or through any other member(s) of Exchanges to enable you to restrict our position limit as prescribed by the applicable circular of Exchanges/SEBI as modified from time to time. I/we confirm that you have agreed to enter orders in commodity forward contracts/commodity derivatives for me/us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges / SEBI. In this connection I/We understand that I/we shall submit the details of the entities with which I/we am/are related in a separate annexure with the details on Name, Client code and Relation.

14. Whatever the transaction executed prior to this declaration has been executed within my knowledge and have received all the contract notes and other trade related documents.

15. I/We confirm that I/We shall abide by all the Regulatory requirements including provisions of PMLA act. In case you require my further information, I/We shall furnish the same within 24 hours of your requirement.

Please enable me to deal/trade on aforesaid Stock Exchange/Segment

Yours Sincerely, Thanking you,

Name & Signature of Client

Place _____

Date _____

(In case of Non-individual clients, affix relevant Company Stamp and Signed by authorized signatories)

* In case, clients already active in CDS opts for IRF, updated financial document is optional. ** Applicable only for Non-individual clients