



Kotak Securities Ltd. Kotak Infinity, 8th floor, Building No 21, Infinity Park, Off Western Express Highway, Gen A. K. Vaidya Marg, Malad (E), Mumbai - 400097.

Branch Inward Details

Recd. on
Emp. Name
Emp. ID
Emp. Sign
Branch Name

KRA KYC COMMON UPDATION FORM A - INDIVIDUAL Note:- In case of multiple holders, please fill up separate forms

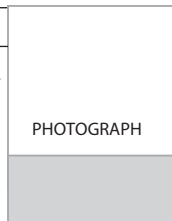
Trading code Demat Account No. DP ID: IN300214
Date DD/MM/YYYY KNOW YOUR CLIENT (KYC) NEW CHANGE REQUEST

Please fill this form in ENGLISH and in BLOCK LETTERS. Please tick the appropriate now where CHANGE/CORRECTION is required IDENTITY DETAILS MANDATORY

***FATCA Declaration:** I/we confirm that our Country of Birth/Nationality/ Citizenship/ Tax Residency/ Address/ Telephone No./ Address of POA or Mandate holder is of India Yes No (if answer to your question is 'No', then please provide complete FATCA Declaration available on page 5 & 6)

1. PERSONAL DETAILS (Please refer instruction A)

Name*(same ID prof.) _____
Nationality* _____ Date of Birth* DD/MM/YYYY
Maiden Name (if any*) _____
Father/Spouse Name* _____ Mother Name* _____
Gender* M-Male F-Female T-Transgender Marital Status* Married Unmarried Others _____
Residential Status* Resident Individual Foreign National Non Resident Indian Person of Indian Origin
Occupation Type* S-Service (Private Sector Public Sector Government Sector)
 O-Others (Professional Self Employed Retired Housewife Student)
 B-Business Agriculturist Forex Dealer Others Please Specify _____



Name
3rd Holder

2.PROOF OF IDENTITY (PoI)* (Please refer instruction B) (Certified copy of any one of the following Proof of Identity [POI] needs to be submitted)

A-Passport No. _____ Passport Expiry Date DD/MM/YYYY
B-Voter ID Card _____ C- PAN Card _____
D-Driving Licence _____ Driving Licence Expiry Date DD/MM/YYYY
E- UID (Aadhaar) _____ F-NREGA Job Card _____
Z- Others (any document notified by the central government) Identification Number _____
S-Simplified Measures Account - Document Name _____ Identification Number _____
To be provided by Sikkim Clients or by clients providing alternate ID Proofs.

Name
2nd Holder

3.PROOF OF ADDRESS (PoA)* (Please refer instruction C)

3.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS
Address Type* Residential/Business Residential Business Registered Office
Proof of Address* Passport Driving Licence UID card (Aadhaar) Voter Identity Card NREGA Job Card
 Simplified Measures Accounts - Document Abbreviation Please Specify Others Please Specify
Document No.: _____ Expiry Date (If any) DD/MM/YYYY

Landmark (If any) _____ City/Town/Village* _____
District* _____ Pin Code* _____ State/U.T* _____ Country* _____

3.2 CORRESPONDENCE/LOCAL ADDRESS DETAILS* Same as Current/Permanent/Overseas Address details (In case of multiple correspondence/ local addresses, please ask your RM to provide you 'Annexure A')

Address Type* Residential/Business Residential Business Registered Office
Proof of Address* Passport Driving Licence UID card (Aadhaar) Voter Identity Card NREGA Job Card
 Simplified Measures Accounts - Document Abbreviation Please Specify Others Please Specify
Document No.: _____ Expiry Date (If any) DD/MM/YYYY

Landmark (If any) _____ City/Town/Village* _____
District* _____ Pin Code* _____ State/U.T* _____ Country* _____

Name
1st Holder

ACKNOWLEDGEMENT

Date DD/MM/YYYY
Address Yes No CRM Query ID 1 _____ From _____
Mobile No./Phone No. Yes No CRM Query ID 2 _____ having Trading Code _____
Email Id Yes No CRM Query ID 3 _____ Client Id _____



Name of the Employee _____ Employee Code _____

Your request will be processed within a tentative period of 7 days from the date of receipt of complete documents. In case of queries regarding the status of the request, We request you to call on Customer Service No. 1800 209 9191 / 1860 266 9191. Demat related complaints write at ks.demat@kotak.com for any other queries or complaints write at service.securities@kotak.com.

For Kotak Securities Ltd. (Company Seal) Employee Signature

4. CONTACT DETAILS (Please refer instruction E) (All communications will be sent on provided Mobile no. / Email-ID)

Tel.(Res.) 9 1 S T D - Mobile 9 1 -

Tel.(Off.) 9 1 S T D - Fax 9 1 S T D -

E-mail ID. SMS Flag Yes No

The mobile number mentioned here belongs to Name of relative _____

 Self Spouse Dependent parent Dependent children PAN of relative _____

The E-Mail ID mentioned here belongs to Name of relative _____

 Self Spouse Dependent parent Dependent children PAN of relative _____**APPLICANT DECLARATION**

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.
- I/We are aware that the information on provided herewith shall be updated in accounts maintained at Kotak Securities under intimation to me.

1st Holder

Name _____

2nd Holder

Name _____

3rd Holder

Name _____

Check list to be verified by acquiring sales staff Certified Copies Details Applicable for All Holders

Details	Documents verified with original / Client Interviewed By / In-Person Verification done by
Name of the Employee / Sub-Broker / AP	
Employee Code / SEBI Reg. No. / AP Reg. No.	
Name & Address of Branch/Franchisee/ Service Center	
Designation of the Employee	
Date	
Place of verification	
Signature	

Name of Institution	Code of Institution		
Kotak Securities Ltd.	NSE Code - 08081	BSE Code - 673	MSEI Code - 1024

**Stamp
of
Institution****For Office Use Only** Ver. 2 (Feb 2019) Address CRM Query ID 1 _____ Mobile No./Phone No. CRM Query ID 2 _____ Email Id CRM Query ID 3 _____**Application Type*** Update**KYC Number** (To be filled by financial institution) _____ (Mandatory for KYC update request)Account Type* Normal Simplified (for low risk customers)



Kotak Securities Ltd. Kotak Infinity, 8th floor, Building No 21, Infinity Park, Off Western Express Highway, Gen A. K. Vaidya Marg, Malad (E), Mumbai - 400097.

Branch Inward Details

Recd. on
Emp. Name
Emp. ID
Emp. Sign
Branch Name

Note:- In case of multiple holders, please fill up separate forms for FATCA

KRA KYC COMMON UPDATION FORM B - INDIVIDUAL

Trading code Demat Account No. DP ID: IN300214

Date DD/MM/YYYY

Please fill this form in ENGLISH and in BLOCK LETTERS. Please tick the appropriate now where CHANGE/CORRECTION is required IDENTITY DETAILS MANDATORY

***FATCA Declaration:** I/we confirm that our Country of Birth/Nationality/ Citizenship/ Tax Residency/ Address/ Telephone No./ Address of POA or Mandate holder is of India Yes No (if answer to your question is 'No', then please provide complete FATCA Declaration available on page 5 & 6)

Name _____

Gender* M-Male F-Female T-Transgender Date of Birth* DD/MM/YYYY

1. REQUEST FOR BANK DETAILS UPDATION/RTGS FACILITY Change Addition (Only for trading) CRM Query ID 5

I want to avail RTGS/NEFT Facility: Yes No Default mapping Yes No

Bank Name _____
Branch Address _____ City _____ Pin Code _____
IFSC Code of beneficiary bank _____ MICR Code : _____
Bank A/c No. _____ Bank A/c Type : Saving Current

Full name of First Holder in the Bank _____
Full name of Second Holder in the Bank _____
Full name of Third Holder in the Bank _____

I am enclosing the following documents as proof that the aforesaid account belongs to me:

- 1. Cancelled personalized cheque leaf of the above mentioned Bank Account and 2. Bank statement/Bank Passbook copy of the same.
- 3. In case Bank Account in Joint Name NOC from Account holder is required. A/c Type : Online Offline Bank Name: KMBL Other Bank

In case Existing Bank a/c is being replaced with KMBL A/c then read the Point # "G" given in "Instruction / Check List for Filling KYC Form"

2. Consent to Receive Transaction Statement on Email (Only for DP) Please refer instruction F CRM Query ID 6

I/We understand from you that a facility is being granted wherein I/We can get access to the transaction statements in respect of my/our above demat account in the Website of the Depository Participant or in the email address provided by me/us to the Depository Participant. I/We are also aware that the said facility is available subject to me/us complying with certain terms and conditions as prescribed by National Securities Depositories Ltd (NSDL). I/We have requested Kotak Securities Ltd to provide me the facility of accessing the transaction statements in the email account provided by me below/in the enclosed KYC form and Kotak Securities Ltd has agreed to the said request. In consideration of Kotak Securities Ltd agreeing to allow me/us to avail the said facility I/We agree that I/We have read and understood the Terms and Condition as mentioned in (F) Point Please note the Email ID: _____

3. Request Form for Accepting Contract Notes and other Communication through Electronic mode and on Mobile Phone: (Only for trading)

Email ID: _____ Mobile 9 1 - _____ CRM Query ID 7

I/We hereby agree to receive: (Please tick any one) Contract notes and other communication on Email and mobile
 Contract notes and other communication through physical & digital mode* Contract notes and other communication through physical mode*
Please send all communication at my/our email id and mobile number as mentioned in the client registration form. I/ We agree that all information sent to the abovementioned email id shall be binding upon me/us. Further, I /We understand that nonreceipt of bounced mail notification by Kotak Securities Limited (KSL) shall amount to delivery of the contact note or such other information at my /our email id. I/We agree that KSL shall not be responsible for the non-receipt of the contract note/confirmation note/other correspondence by me/us due to any change in the address/email id/mobile number if not intimated by me/us. I/We am/are aware that for any change in the address/email id/mobile number, I/we am/are required to update the same to KSL in writing through a physical letter. If I/we avail online trading services provided by KSL, the said updation can also be made through a secured access to the website.

* Nominal charges may be debited to my account as per the prevalent policy of the company towards the physical delivery of various communication

The mobile number mentioned here belongs to Name of relative _____
 Self Spouse Dependent parent Dependent children PAN of relative _____ Client Signature
The email ID mentioned here belongsto Name of relative _____
 Self Spouse Dependent parent Dependent children PAN of relative _____

*** I/We agree to activate my account from dormant status to active status**

DECLARATION

I/We hereby declare that the details furnished above are true & correct to the best of my knowledge and undertake to inform you of any changes therein immediately, and I/we may be held liable for any information is found to be false/misreading/misrepresenting. I/We are aware that the information provided herewith shall be updated in accounts maintained at Kotak Securities under intimation to me.

1st Holder **2nd Holder** **3rd Holder**
Name _____ Name _____ Name _____

ACKNOWLEDGEMENT

Date DD/MM/YYYY From _____
Bank Updation/RTGS Facility Yes No CRM Query ID 5 _____ having Trading Code _____
Email Consent for Transaction Statement (Only for DP) Yes No CRM Query ID 6 _____ Client Id _____
Contract Note/Communication Preference (Only for Trading) Yes No CRM Query ID 7 _____

Name of the Employee _____ Employee Code _____

Your request will be processed within a tentative period of 7 days from the date of receipt of complete documents. In case of queries regarding the status of the request, We request you to call on Customer Service No. 1800 209 9191 / 1860 266 9191. Demat related complaints write at ks.demat@kotak.com for any other queries or complaints write at service.securities@kotak.com.



For Kotak Securities Ltd. (Company Seal) Employee Signature

A. IMPORTANT POINTS:

- Self attested copy of PAN card and all other documents are mandatory for all clients and persons authorized to deal in securities on behalf of company/firm/others.
- Copies of all documents submitted by the client should be verified with original by Kotak Securities employee/Registered Sub-broker/ Registered Authorised Person. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list. List of people authorized to attest the documents: Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy). In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card / OCI Card and overseas address proofs mandatory.
- In case of high risk clients like PEP (Political Exposed Person) documents specified as proof of identity and address for opening simplified measure account are not applicable.

General Instructions:

- Fields marked with "*" are mandatory fields.
- Tick 'wherever applicable.
- Please fill all dates in DD-MM-YYYY format.
- For particular section update, please tick in the box available before the section number and strike off the sections not required to be updated.

A Clarification / Guidelines on filling 'Personal Details' section

- Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected..
- Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

B Clarification / Guidelines on filling 'Proof of Identity [Pol]' section

- If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

Sr.No. Description

- Identity card with applicant's photograph issued by Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.

- Letter issued by a gazetted officer, with a duly attested photograph of the person.

C Clarification/Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section

- PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
- State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted

D Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

- To be filled only in case the PoA is not the local address or address where the customer is currently residing.
- In case of multiple correspondence/local addresses, Please ask for 'Annexure A1' from your Relationship Manager and submit the same.

E Clarification / Guidelines on filling 'Contact details' section

- In case of mobile no. please mention country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- Do not add '0' in the beginning of Mobile number.
- In case of landline number please mention STD Code & ISD Code

F. Consent to receive Transaction Statement on E-mail

Notes: For receiving Statement of Account in electronic form:

- I/We are aware that on availing this facility transaction statements will not be received in paper form.
- I/we will take all necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- I/we am/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is
- I/We shall not hold kotak securities ltd liable if any problem arises with my/our computer network because of me/us receiving statements.
- I/We are authorized by the other holders to receive the statements on their behalf in email IDs provided to kotak securities ltd
- I/we shall immediately inform Kotak Securities Ltd regarding any change of email address provided by me/us for receiving the transaction statements.
- I/We shall not hold responsible kotak securities ltd, if we do not receive statement due to incorrect email ids and/technical reasons.
- I/We are aware that both the parties have the right to terminate the said facility on providing a 10 days advance written notice to the other party.
 - Client must ensure the confidentiality of the password of the email account.
 - Client must promptly inform the Participant if the email address has changed.
 - Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

- In case you wish to have direct Access Facility with the new Bank Account and Power Of attorney has not been registered against this Account, please submit a copy of the "Linking Letter" to your Bank for linking the same. Please note that in absence of the same, you will be unable to transfer funds to your Trading Account.

Sr.No.	Description	Abbreviation
1.	Utility bill which is not more than two months old of any service provider (electricity, telephone, post- paid mobile phone, piped gas, water bill).	UB
2.	Property or Municipal Tax receipt.	PRT
3.	Bank account or Post Office savings bank account statement.	BAS
4.	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.	PPOs
5.	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks,	LOA
6.	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.	DIG

FATCA / CRS DECLARATION FOR INDIVIDUAL ACCOUNTS

Note - The information in this section is being collected because of enhancements to Kotak Securities Limited's new account on-boarding procedures in order to fully comply with Foreign Account Tax Compliance Act (FATCA) requirements and the Common Reporting Standards (CRS) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962. For more information refer: <http://bit.ly/29F0475> & <http://bit.ly/1pXp8Bg> (We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

1st Holder - Section I (All fields are mandatory) (Please fill in BLOCK LETTERS)

		Details of Account Holder	
1	Trading code: Client ID (To be provided only if demat account is with KSL):		
2	Name of Account Holder		
3	Address for Tax Residence (Other than India) City _____ State _____ Country _____ Pin Code <input type="text"/>		
4	Address Type (Tick whichever applicable)	(a) Residential <input type="checkbox"/>	(b) Business <input type="checkbox"/> (c) Registered Office <input type="checkbox"/>
		(d) Residential/ Business <input type="checkbox"/>	(e) Unspecified <input type="checkbox"/>
5	Do you satisfy any of the criteria mentioned below?	Yes	No
	a. Citizen of any country other than India (dual / multiple) [including Green card]	<input type="checkbox"/>	<input type="checkbox"/>
	b. Country of birth is any country other than India	<input type="checkbox"/>	<input type="checkbox"/>
	c. Tax resident of ANY country / ies other than India	<input type="checkbox"/>	<input type="checkbox"/>
	d. POA or a mandate holder who has an address outside India	<input type="checkbox"/>	<input type="checkbox"/>
	e. Address or telephone number outside India	<input type="checkbox"/>	<input type="checkbox"/>
	If your answer to any of the above questions is a 'YES', please fill Section II of the form, else go to declaration & acknowledgment		

1st Holder - Section II (All fields are mandatory)

Father's name _____ (If PAN not available, then mandatory)

Country of Birth' _____ Place within the country of birth _____

(If USA, however nationality and/or country of tax residency is not USA, please provide documentary evidence as mentioned in Instruction 1)

Source of Wealth _____ Nationality _____

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

Country of Tax residency	Tax identification no	Tax identification document (TIN or functional equivalent)

¹It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.

2nd Holder - Section I (All fields are mandatory) (Please fill in BLOCK LETTERS)

		Details of Account Holder	
1	Trading code: Client ID (To be provided only if demat account is with KSL):		
2	Name of Account Holder		
3	Address for Tax Residence (Other than India) City _____ State _____ Country _____ Pin Code <input type="text"/>		
4	Address Type (Tick whichever applicable)	(a) Residential <input type="checkbox"/>	(b) Business <input type="checkbox"/> (c) Registered Office <input type="checkbox"/>
		(d) Residential/ Business <input type="checkbox"/>	(e) Unspecified <input type="checkbox"/>
5	Do you satisfy any of the criteria mentioned below?	Yes	No
	a. Citizen of any country other than India (dual / multiple) [including Green card]	<input type="checkbox"/>	<input type="checkbox"/>
	b. Country of birth is any country other than India	<input type="checkbox"/>	<input type="checkbox"/>
	c. Tax resident of ANY country / ies other than India	<input type="checkbox"/>	<input type="checkbox"/>
	d. POA or a mandate holder who has an address outside India	<input type="checkbox"/>	<input type="checkbox"/>
	e. Address or telephone number outside India	<input type="checkbox"/>	<input type="checkbox"/>
	If your answer to any of the above questions is a 'YES', please fill Section II of the form, else go to declaration & acknowledgment		

2nd Holder - Section II (All fields are mandatory)

Father's name _____ (If PAN not available, then mandatory)

Country of Birth' _____ Place within the country of birth _____

(If USA, however nationality and/or country of tax residency is not USA, please provide documentary evidence as mentioned in Instruction 1)

Source of Wealth _____ Nationality _____

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

Country of Tax residency	Tax identification no	Tax identification document (TIN or functional equivalent)

¹It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.

3rd Holder - Section I (All fields are mandatory) (Please fill in BLOCK LETTERS)

		Details of Account Holder	
1	Trading code: Client ID (To be provided only if demat account is with KSL):		
2	Name of Account Holder		
3	Address for Tax Residence (Other than India) _____ City _____ State _____ Country _____ Pin Code <input type="text"/>		
4	Address Type (Tick whichever applicable)	(a) Residential <input type="checkbox"/>	(b) Business <input type="checkbox"/>
		(d) Residential/ Business <input type="checkbox"/>	(c) Registered Office <input type="checkbox"/>
		(e) Unspecified <input type="checkbox"/>	
5	Do you satisfy any of the criteria mentioned below?	Yes	No
	a. Citizen of any country other than India (dual / multiple) [including Green card]	<input type="checkbox"/>	<input type="checkbox"/>
	b. Country of birth is any country other than India	<input type="checkbox"/>	<input type="checkbox"/>
	c. Tax resident of ANY country / ies other than India	<input type="checkbox"/>	<input type="checkbox"/>
	d. POA or a mandate holder who has an address outside India	<input type="checkbox"/>	<input type="checkbox"/>
	e. Address or telephone number outside India	<input type="checkbox"/>	<input type="checkbox"/>
If your answer to any of the above questions is a 'YES', please fill Section II of the form, else go to declaration & acknowledgment			

3rd Holder - Section II (All fields are mandatory)

Father's name _____ (If PAN not available, then mandatory)

Country of Birth' _____ Place within the country of birth _____

(If USA, however nationality and/or country of tax residency is not USA, please provide documentary evidence as mentioned in Instruction 1)

Source of Wealth _____ Nationality _____

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

Country of Tax residency	Tax identification no	Tax identification document (TIN or functional equivalent)

³It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.

Declaration & Acknowledgment

I/We being the beneficial owner of the account opened / to be opened with Kotak Securities Limited(KSL) and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed. I/We acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the KSL may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the KSL does not receive a valid self- certification from me) KSL may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me I/We ensure that I/We will advise KSL promptly, i.e., within 30 days. Towards compliance with such laws, the KSL may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the KSL may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s). I/We further agree that the information provided above will be updated in all my trading/demat accounts, if any at PAN level. I/We also understand that the account will be reported if any one of the aforesaid FATCA / CRS criteria for any of the account holders i.e. primary or joint (in case of demat account) are met.

Client Signature (1st Holder)

Client Signature (2nd Holder)

Client Signature (3rd Holder)


Office Use Section:

	Signature Verified
Name of the Employee	
Employee Code	

Designation of the employee	
Date	
Place of Verification	

Instructions to the Form

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

Sr. No.	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
1	U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: - Certified Copy of "Certificate of Loss of Nationality or - Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or - Reason the customer did not obtain U.S. citizenship at birth
2	Residence/ mailing address in a country other than India or Telephone number in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)
3	Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)
4	POA granted to a person with an address in a country outside India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence (refer list below)

 List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body*
- Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.