

SELF DECLARATION FOR FINANCIAL DETAILS (for Non individuals)

I/ we, _____ (Name of the client) having office at _____
_____ (address) and having trading code _____ hereby provide following information.

1. Occupation _____ Nature of business: _____
2. Name of the entity _____
3. Specify your income range for the year ended _____ (specify financial year) by ticking against the relevant slab:
☐ Below Rs. 1 lac ☐ Rs1-5 lacs ☐ 5-10 lacs ☐ 10-25 lacs ☐ 25 lacs-1crore ☐ more than Rs 1 crore
4. Exact Income: Rs. _____ as on _____ (date/year)
5. *Net worth: Rs. _____ as on _____ (date/year)
6. Highest Balance in Bank Statement : Rs. _____ as on _____ (date/year)
7. Whether borrowed fund used for trading in securities market: Yes/No
8. Risk appetite : High/Medium/Low
9. Are your promoter/Directors/Trustees/Co parceners etc. is politically exposed person**: Yes/no
10. Are your promoter/Directors/Trustees/Co parceners etc. related to any politically exposed person* : Yes/No

I/We hereby confirm that above declaration is correct and are attaching **one** of the following documentary proofs in support thereof:

- i. Copy of latest ITR Acknowledgment
- ii. Copy of latest Annual Accounts
- iii. Net worth certificate by a CA/CS/ICWA*

Further, as per SEBI requirement we are submitting updated list of directors/trustees/partners (as applicable).

☐ We undertake that we will submit a certified copy of Annual Balance sheet every year before 31st October.

* Net worth means liquid net worth i.e. Assets (excluding immovable properties) - Liabilities

**Politically Exposed Person : Senior figure who is or has been entrusted with prominent public functions e.g., Head of State or of Government, senior politician, senior government / judicial / military officer, senior executive of state-owned corporation, important political party official, etc.

Name of the authorized signatory of the client

Signature: -----

Note: Trading in F&O involves certain element of financial risk and therefore as per SEBI regulations, it is important that person has liquid net worth (i.e. excluding immovable property). Further, as per Prevention of Money Laundering Act (PMLA), trading of the client has to be in sync with his net worth/income. Accordingly minimum net worth required for trading in F&O is Rs. 50000/-