

Address : Kotak Infinity, 8th floor, Building No 21 Infinity Park, Off western Express Highway,
 Gen A.K. Vaidya Marg, Malad (E), Mumbai – 400 097. Tel - 091 22 4285 6825

FORM 30
TRANSMISSION FORM

Date

To,
 Kotak Securities Ltd.
 Mumbai

(1) I/We, the undersigned, being the

| | | | |
|--------------------------------|----------------------|-----------------|----------------------|
| Executor(s) of the Will | <input type="text"/> | Legal heir(s) | <input type="text"/> |
| Administrator(s) of the Estate | <input type="text"/> | Joint holder(s) | <input type="text"/> |
| Successor(s) to the Estate | <input type="text"/> | Nominee | <input type="text"/> |

of Mr./Mrs./Ms. _____, Mr./Mrs./Ms.
 _____ and Mr./Mrs./Ms.
 _____, the deceased, of which *nomination / probate/
 letter of administration / succession certificate was duly granted to me / us on the _____ day of
 _____ of _____ hereby request you to register me/us as the beneficial owner(s) in respect
 of the securities standing in the name of the said deceased under Client ID _____ DP ID _____.

(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

| Name | Client ID | DP ID |
|------|-----------|-------|
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(3) List of Documents enclosed (for Individual accounts) (tick as applicable):

A For surviving holder(s) in a joint account

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

B For nominee of the deceased:

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the nominee.

C For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs.5 lakh on the date of application

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.

Indemnity (*format enclosed*)

Affidavit (*format enclosed*)

No objection certificate(s) (*format enclosed*)

Family Settlement Deed [*as an alternate to No objection certificate(s)*]

D For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application

Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer

In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.

Succession certificate

Letter of Administration

Probate of the Will

(4) Declaration:

(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 Lakh on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

| Sr. No. | Name of the legal heir(s) | Specify whether a claimant or given a No Objection Certificate |
|---------|---------------------------|--|
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(5) Signatures:

| Sr. No. | Name of claimant | Signature of claimant |
|--------------------|-------------------------|------------------------------|
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| | | |
| | | |

- Notes:** 1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee, as the case may be.
2. * Strike off whichever is not applicable.