

Documents Required in case of Transmission

A. Surviving holder(s) in a joint account

- 1) Transmission Form signed by surviving holder/s (As per format)
- 2) Original / Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- 3) Cheque for outstanding balance, if any
- 4) Client Master Report of surviving holder/s duly stamped and signed by DP, in case the claimant's DP is outside KSL
- 5) Original Canceled Cheque
- 6) Account closing form signed by surviving holder/s.

B. Nominee of the deceased

- 1) Transmission Form signed by nominee (As per format)
- 2) Original / Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- 3) Identity proof of the nominee with OSV (original seen and verified), if target DP is outside KSL.
- 4) Cheque for outstanding balance, if any
- 5) Client Master Report of the nominee duly stamped and signed by DP, in case the nominee's DP is outside KSL
- 6) Original Canceled Cheque
- 7) In case there is any discrepancies / change in nominee details like name difference etc - Affidavit signed by nominee duly stamped and notarized
- 8) In case nominee details were updated prior to prescribe format of NSDL Annexure "JA" - Indemnity signed by nominee duly stamped and notarized (As per format)
- 9) Account closing form mentioning the details of target demat account.

C. Legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs.5 lakh on the date of application.

- 1) Transmission Form signed by claimant (As per format)
- 2) Original / Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- 3) Affidavit-cum-Indemnity Signed by all the legal heirs, stamped and notarized (As per format)
- 4) No objection certificate(s) / Family Settlement Deed signed by each legal heir separately and signature duly attested by the authorized person of the bank / notarized (As per format)
- 5) Legal heir certificate issued by competent authority
- 6) Identity proof of the claimant with OSV (original seen and verified), if target DP is outside KSL.
- 7) Cheque for outstanding balances, if any
- 8) Client Master Report of the claimant duly stamped and signed by DP, in case the claimant's DP is outside KSL
- 9) Original Canceled Cheque
- 10) Account Closure form mentioning the details of target demat account

D. Legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application.

- 1) Transmission Form signed by claimant (As per format)
- 2) Original / Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- 3) Succession Certificate or an order of a Court of Competent Jurisdiction - Attested by a Notary Public or by a Gazetted Officer or,
- 4) Probate or Letter of Administration - Attested by a Notary Public or by a Gazetted Officer.
- 5) Identity proof of the claimant with OSV (original seen and verified), if target DP is outside KSL
- 6) Cheque for outstanding balances, if any
- 7) Client Master Report of the claimant duly stamped and signed by DP, in case the claimant's DP is outside KSL
- 8) Original Cancelled Cheque
- 9) Account Closure form mentioning the details of target demat account

E. Death of the Karta of a Hindu Undivided Family (HUF) – Appointment of New Karta of HUF

- 1) Form 40 – Signed by new Karta and all the surviving Members (As per format)
- 2) Death Certificate of Karta - Original / Notarized / Attested by a Gazetted Officer.
- 3) Copy of PAN Card, Proof of Identity, Proof of Address, and Photograph of New Karta of HUF along with his original ink signed specimen signature.
- 4) KYC documents and specimen signature of New Karta as per specified procedure.

F. Partition of Hindu Undivided Family (HUF)

- 1) Death Certificate of Karta - Original / Notarized / Attested by a Gazetted Officer.
- 2) Letter signed by all the members (As per format)
- 3) Identity proof with OSV (original seen and verified), Client Master Report duly stamped and signed by DP of the members, if target DP is outside KSL
- 4) Original canceled cheque of all the members (applicable if there is any trading code with KS)
- 5) Cheque for outstanding balance, if any
- 6) Account Closure form mentioning the details of target demat account